

JC14 Rec'd PCT/PTO 19 SEP 2001

\*FORM PTO-1390  
OFFICE  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK

ATTORNEY'S DOCKET NUMBER

449122010600

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. § 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)  
**09/936931**  
Not yet assigned

INTERNATIONAL APPLICATION NO.  PCT/DE00/00853	INTERNATIONAL FILING DATE  March 17, 2000	PRIORITY DATE CLAIMED  March 19, 1999
TITLE OF INVENTION  MULTIPOLE, PERMANENT-MAGNET ROTOR FOR A ROTATING ELECTRICAL MACHINE, AND A METHOD FOR PRODUCING SUCH A ROTOR		
APPLICANT(S) FOR DO/EO/US  Ingo SCHUERING		

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1.  This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2.  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3.  This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4.  The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5.  A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  is attached hereto (required only if not communicated by the International Bureau).
  - b.  has been communicated by the International Bureau.
  - c.  is not required, as the application was filed in the United States Receiving Office (RO/US).
6.  An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2))
  - a.  is attached hereto.
  - b.  has been previously submitted under 35 U.S.C. 154(d)(4).
7.  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  are attached hereto (required only if not communicated by the International Bureau).
  - b.  have been communicated by the International Bureau.
  - c.  have not been made; however, the time limit for making such amendments has NOT expired.
  - d.  have not been made and will not be made.
8.  An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9.  An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10.  An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

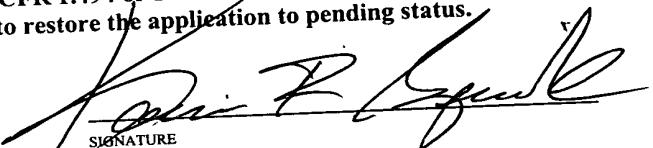
**Items 11. to 16. below concern document(s) or information included:**

11.  An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12.  An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13.  A **FIRST** preliminary amendment.
14.  A **SECOND** or **SUBSEQUENT** preliminary amendment.
15.  A substitute specification.
16.  A change of power of attorney and/or address letter.
17.  A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18.  A second copy of the published international application under 35 U.S.C. 154(d)(4).
19.  A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20.  Other items or information: 1. International Search Report 2. IPER 3. Application Data Sheet 4. Return receipt postcard.

**CERTIFICATE OF HAND DELIVERY**

I hereby certify that this correspondence is being hand delivered with the United States Patent and Trademark Office in Washington, D.C. on September 19, 2001.


 Melissa Carton

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) Not yet assigned		INTERNATIONAL APPLICATION NO. PCT/DE00/00853	ATTORNEY'S DOCKET NUMBER: 449122010600																
09/936931																			
21. <input checked="" type="checkbox"/> The following fees are submitted:																			
<b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b>																			
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,000.00																			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$860.00																			
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$710.00																			
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provision of PCT Article 33(1)-(4) .....\$690.00																			
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .....\$100.00																			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00</b>																			
<input checked="" type="checkbox"/> Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)). \$0																			
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th>CLAIMS</th> <th>NUMBER FILED</th> <th>NUMBER EXTRA</th> <th>RATE</th> </tr> </thead> <tbody> <tr> <td>Total claims</td> <td>6 - 20 =</td> <td>0</td> <td>x \$18.00 \$0</td> </tr> <tr> <td>Independent claims</td> <td>1 - 3 =</td> <td>0</td> <td>x \$80.00 \$0</td> </tr> <tr> <td colspan="3"></td> <td>+ \$270.00 \$270.00</td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	6 - 20 =	0	x \$18.00 \$0	Independent claims	1 - 3 =	0	x \$80.00 \$0				+ \$270.00 \$270.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																
Total claims	6 - 20 =	0	x \$18.00 \$0																
Independent claims	1 - 3 =	0	x \$80.00 \$0																
			+ \$270.00 \$270.00																
<b>TOTAL OF ABOVE CALCULATIONS = \$860.00</b>																			
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. \$0																			
<b>SUBTOTAL = \$1130.00</b>																			
<input checked="" type="checkbox"/> Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)). \$0																			
<b>TOTAL NATIONAL FEE = \$1130.00</b>																			
<input checked="" type="checkbox"/> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$0																			
<b>TOTAL FEES ENCLOSED = \$1130.00</b>																			
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td></td> <td>Amount to be refunded:</td> <td>\$</td> </tr> <tr> <td></td> <td>charged:</td> <td>\$</td> </tr> </table>					Amount to be refunded:	\$		charged:	\$										
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	charged:	\$																	
<p>a. <input checked="" type="checkbox"/> Please charge my <u>Deposit Account No. 03-1952</u> in the amount of \$1130.00 to cover the above fees. Please reference 449122010600. <u>A duplicate copy of this sheet is enclosed.</u></p> <p>b. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to <u>Deposit Account No. 03-1952</u>. Please reference 449122010600. <u>A duplicate copy of this sheet is enclosed.</u></p> <p>c. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</p>																			
<p><b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b></p>																			
<p>SEND ALL CORRESPONDENCE TO:</p> <p>Kevin R. Spivak Morrison &amp; Foerster LLP 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20006-1888</p>																			
 <p>SIGNATURE</p> <p>Kevin R. Spivak Registration No. 43,148</p>																			

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

09/25/2001 MKAYPAGH 0000007 031952 09936931

01 FC:970 860.00 CH  
02 FC:968 270.00 CH

PTO-1556  
(5/87)